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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>		Attorney Docket No.	2013p003
		First Inventor or Application Identifier	Jeong Ik Lee
		Title	SPIROBIFLUORENE COMPOUNDS, ELECTROLUMINESCENCE POLYMERS
		Express Mail Label No.	em531528215us

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents</small>		ADDRESS TO:	
		Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification <small>Total Pages</small> <input type="text" value="17"/></p> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C.113) <small>Total Sheets</small> <input type="text" value="4"/></p> <p>5. Oath or Declaration <small>Total Pages</small> <input type="text"/></p> <ol style="list-style-type: none"> <input checked="" type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> <ol style="list-style-type: none"> <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76.</p>			
<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i></p> <ol style="list-style-type: none"> <input type="checkbox"/> Computer Readable Form (CFR) <input type="checkbox"/> Specification Sequence Listing on. <ol style="list-style-type: none"> <input type="checkbox"/> CD-ROM or CD-R (2 copies); or <input type="checkbox"/> Paper <input type="checkbox"/> Statement verifying identity of above copies 			
<p>ACCOMPANYING APPLICATION PARTS</p> <ol style="list-style-type: none"> <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i> <input type="checkbox"/> English Translation Document <i>(if applicable)</i> <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO - 1449 <input type="checkbox"/> Copies of IDS Citations <input type="checkbox"/> Preliminary Amendment <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> <input type="checkbox"/> Nonpublication Request under 35 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent <input checked="" type="checkbox"/> Other: request for priority; transmittal of... formal drawings 			

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation Divisional Continuation-in-part (CIP) of prior application No: _____ / _____

Prior application Information: Examiner _____ Group/Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

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Country	U.S.A.	Telephone	(310) 207-3800	Fax	(310) 820-5988

Name (Print/Type) Eric S. Hyman, Reg. No. 30,139

Signature 

Date

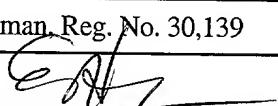
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FEE TRANSMITTAL for FY 2002		<i>Complete if Known</i>	
<i>Patent fees are subject to annual revision.</i>		Application Number	
		Filing Date	
		First Named Inventor	Jeong Ik Lee, et al.
		Examiner Name	
		Group Art Unit	
		Attorney Docket Number	2013p003
TOTAL AMOUNT OF PAYMENT (\$)		410.00	

METHOD OF PAYMENT (check one)		FEES CALCULATION (continued)																																																																																																																													
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number 02-2666</p> <p>Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>		<p>3. ADDITIONAL FEE</p> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee Code</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205 65 Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>127</td> <td>50</td> <td>227 25 Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139 130 Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147 2,520 For filing a request for ex parte reexamination</td> <td></td> </tr> <tr> <td>112</td> <td>920</td> <td>112 920 Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113</td> <td>1,840*</td> <td>113 1,840 Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215 55 Extension for response within first month</td> <td></td> </tr> <tr> <td>116</td> <td>400</td> <td>216 200 Extension for response within second month</td> <td></td> </tr> <tr> <td>117</td> <td>920</td> <td>217 460 Extension for response within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,440</td> <td>218 720 Extension for response within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1,960</td> <td>228 980 Extension for response within fifth month</td> <td></td> </tr> <tr> <td>119</td> <td>320</td> <td>219 160 Notice of Appeal</td> <td></td> </tr> <tr> <td>120</td> <td>320</td> <td>220 160 Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>280</td> <td>221 140 Request for oral hearing</td> <td></td> </tr> <tr> <td>138</td> <td>1,510</td> <td>138 1,510 Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>240 55 Petition to revive - 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SUBMITTED BY		<i>Complete (if applicable)</i>	
Typed or Printed Name	Eric S. Hyman, Reg. No. 30,139		Reg. Number
Signature		Date	11/3/03
		Deposit Account User ID	02-2666

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

JEONG IK LEE, ET AL.

Art Group:

Application No.:

Examiner:

Filed:

For: **SPIROBIFLUORENE COMPOUNDS,
ELECTROLUMINESCENCE
POLYMER AND
ELECTROLUMINESCENCE**

Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL OF FORMAL DRAWINGS

Sir:

Enclosed herewith for filing in the above-identified U.S. Patent Application are the formal drawings, 4 sheets including 6 Figures. Applicant hereby authorizes any additional extension or petition fees under 37 C.F.R. §1.17 or credit for any overpayment to our Deposit Account No. 02-2666. A copy of the Fee Transmittal sheet is enclosed.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Eric S. Hyman, Reg. No. 30,139

Dated: 11/30/01

12400 Wilshire Blvd., 7th Floor
Los Angeles, California 90025
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AUG 2003
12400 WILSHIRE BLVD.
LOS ANGELES, CA 90025